



SPECTOR ROSEMAN
KODROFF & WILLIS^{PC}

MONITORING REPORT

Second Quarter 2015

Prepared For:
CITY OF WESTMINSTER

Contents

- New Investor Actions
- Investor Alert: **None**
- Eligible – But Not Recommended

New Investor Actions

Second Quarter 2015

Case Name ¹	Security Identifiers		Why You Are Eligible	We Recommend ²	Filing Deadline
	Symbol	ID			
April 2015					
<i>The City of Westminster was not eligible to play an active role in any actions during this period.</i>					
May 2015					
<i>The City of Westminster was not eligible to play an active role in any actions during this period.</i>					
June 2015					
Kraft Food Groups, Inc.	KRFT	50076Q106	Held Shares During Relevant Period	Take No Action	Jul. 14, 2015

Case Name ¹	Security Identifiers		Why You Are Not Eligible ³	We Recomm.	Filing Deadline
	Symbol	ID			
April 2015					
Tesco, PLC (Stewarts) <i>(Non-US Action – United Kingdom)</i>	TSCO	G87621101 GB0008847096	No Relevant Purchases	N/A	Pending
Tesco, PLC (Fideres) <i>(Non-US Action – United Kingdom)</i>	TSCO	G87621101 GB0008847096	No Relevant Purchases	N/A	Pending
Tesco, PLC (DRRT) <i>(Non-US Action – Netherlands/ United Kingdom)</i>	TSCO	G87621101 GB0008847096	No Relevant Purchases	N/A	Pending
Tesco, PLC (Deminor) <i>(Non-US Action – United Kingdom)</i>	TSCO	G87621101 GB0008847096	No Relevant Purchases	N/A	Pending
Tesco PLC (McGuireWoods) ⁵ <i>(Non-US Action – United Kingdom)</i>	TSCO	G87621101 GB0008847096	No Relevant Purchases	N/A	Pending
Tesco, PLC (Investor Claims Foundation) <i>(Non-U.S. Action – Netherlands)</i>	TSCO	G87621101 GB000884709	No Relevant Purchases	N/A	Pending
Orexigen Therapeutics, Inc.	OREX	686164104	No Relevant Purchases	N/A	May 11, 2015
Coupons.com, Inc.	COUP	22265J102	No Relevant Purchases	N/A	May 11, 2015
Acadia Pharmaceuticals, Inc.	ACAD	004225108	No Relevant Purchases	N/A	May 12, 2015
Fuqi International, Inc.	FUQI	36102A207	No Relevant Purchases	N/A	May 12, 2015
Inogen, Inc.	INGN	45780L104	No Relevant Purchases	N/A	May 12, 2015
Lentuo International, Inc.	LAS	526353107	No Relevant Purchases	N/A	May 12, 2015
Pharmacyclics, Inc. <i>(Transaction Action)</i>	PCYC	716933106	Limited Data ⁴	N/A	N/A
Colony Financial, Inc. <i>(Transaction Action)</i>	CLNY	19624R106	Limited Data ⁴	N/A	N/A
King Digital Entertainment, plc	KING	G5258J109 IE00BKJ9QQ58	No Relevant Purchases	N/A	May 18, 2015
Pulse Electronics Corporation <i>(Transaction Action)</i>	PULS	74586W205	Limited Data ⁴	N/A	N/A
Resonant, Inc.	RESN	76118L102	No Relevant Purchases	N/A	May 18, 2015
Accelerate Diagnostics, Inc.	AXDX	00430H102	No Relevant Purchases	N/A	May 18, 2015
Chemical and Mining Company of Chile, Inc.	SQM	833635105	No Relevant Purchases	N/A	May 18, 2015
Omnicell, Inc.	OMCL	68213N109	No Relevant Purchases	N/A	May 18, 2015
Square 1 Financial, Inc. <i>(Transaction Action)</i>	SQBK	85223W101	Limited Data ⁴	N/A	N/A
Globe Specialty Metals, Inc. <i>(Transaction Action)</i>	GSM	37954N206	Limited Data ⁴	N/A	N/A
Vitesse Semiconductor Corporation <i>(Transaction Action)</i>	VTSS	928497304	Limited Data ⁴	N/A	N/A
Youku Tudou, Inc.	YOKU	98742U100	No Relevant Purchases	N/A	May 25, 2015
Manhattan Bancorp	MNHN	562754101	No Relevant Purchases	N/A	N/A
Altisource Residential Corporation	RESI	02153W100	No Relevant Purchases	N/A	May 26, 2015
Halcon Resources Corporation <i>(Transaction Action)</i>	HK	40537Q209	Limited Data ⁴	N/A	N/A

ELIGIBLE

NOT ELIGIBLE

New Investor Actions





New Investor Actions

Case Name ¹	Security Identifiers		Why You Are Not Eligible ³	We Recomm.	Filing Deadline
	Symbol	ID			
Life Time Fitness, Inc. (Transaction Action)	LTM	53217R207	Limited Data ⁴	N/A	N/A
SanDisk Corporation	SNDK	80004C101	No Relevant Purchases	N/A	May 29, 2015
Aruba Networks, Inc.	ARUN	043176106	No Relevant Purchases	N/A	Jun. 1, 2015
Boulder Brands, Inc.	BDBD	101405108	No Relevant Purchases	N/A	Jun. 1, 2015
Cellular Dynamics International, Inc. (Transaction Action)	ICEL	15117V109	Limited Data ⁴	N/A	N/A
Integrated Silicon Solutions, Inc. (Transaction Action)	ISSI	45812P107	Limited Data ⁴	N/A	N/A
Castlight Health, Inc.	CSLT	14862Q100	No Relevant Purchases	N/A	Jun. 1, 2015
iDreamSky Technology, Ltd.	DSKY	45173K101	No Relevant Purchases	N/A	Jun. 1, 2015
Quiksilver, Inc.	ZQK	74838C106	No Relevant Purchases	N/A	Jun. 1, 2015
BP p.l.c.	BP	055622104 G12793108 110889409	No Relevant Purchases	N/A	Jun. 2, 2015
Catamaran Corporation (Transaction Action)	CTRX	148887102	Limited Data ⁴	N/A	N/A
Sonus Networks, Inc.	SONS	835916503	No Relevant Purchases	N/A	Jun. 5, 2015
BioScrip, Inc. (Transaction Action)	BIOS	09069N108	Limited Data ⁴	N/A	N/A
Life Time Fitness, Inc.	LTM	53217R207	No Relevant Purchases	N/A	Jun. 9, 2015
Walgreen Company (n.k.a. Walgreens Boot Alliance, Inc.)	WAG	931422109	No Relevant Purchases	N/A	Jun. 9, 2015
May 2015					
Bankia S.A. (Non-U.S. Action – Spain)	BKIA	E2R23Z123 ES0113307021	No Relevant Purchases	N/A	Pending
Globe Union Industrial Corp. (Non-U.S. Action – Taiwan)	9934	Y2725R103 TW0009934000	No Relevant Purchases	N/A	Pending
AudioEye, Inc.	AEYE	050734102	No Relevant Purchases	N/A	Jun. 15, 2015
Baltic Trading Limited (Transaction Action)	BALT	Y0553W103	Limited Data ⁴	N/A	N/A
First Security Group, Inc. (Transaction Action)	FSGI	336312202	Limited Data ⁴	N/A	N/A
Auspex Pharmaceuticals, Inc. (Transaction Action)	ASPX	05211J102	Limited Data ⁴	N/A	N/A
Informatica Corporation (Transaction Action)	INFA	45666Q102	Limited Data ⁴	N/A	N/A
CHC Group Ltd.	HELI	G07021101 KYG070211019	No Relevant Purchases	N/A	Jun. 16, 2015
ForceField Energy Inc.	FNRG	34520U103	No Relevant Purchases	N/A	Jun. 16, 2015
HSN, Inc. (Transaction Action)	HSNI	404303109	Limited Data ⁴	N/A	N/A
The Eastern Company (Transaction Action)	EML	276317104	Limited Data ⁴	N/A	N/A
Alliance Bancorp, Inc. of Pennsylvania (Transaction Action)	ALLB	01890A108	Limited Data ⁴	N/A	N/A
Interval Leisure Group, Inc. (Transaction Action)	IILG	46113M108	Limited Data ⁴	N/A	N/A
Cellular Biomedicine Group, Inc.	CBMG	15117P102	No Relevant Purchases	N/A	Jun. 22, 2015
MagnaChip Semiconductor Corporation	MX	55933J203	No Relevant Purchases	N/A	Jun. 22, 2015
Excel Trust, Inc. (Transaction Action)	EXL	30068C109	Limited Data ⁴	N/A	N/A
Imperial Holdings, Inc.	IFT	452834104	No Relevant Purchases	N/A	N/A
Levy Acquisition Corp. (Transaction Action)	LEVY	52748T104	Limited Data ⁴	N/A	N/A
Norcraft Companies, Inc. (Transaction Action)	NCFT	65557Y105	Limited Data ⁴	N/A	N/A
Cadiz, Inc.	CDZI	127537207	No Relevant Purchases	N/A	Jun. 23, 2015
Emulex Corporation	ELX	292475209	No Relevant Purchases	N/A	Jun. 26, 2015
Procera Networks, Inc. (Transaction Action)	PKT	74269U203	Limited Data ⁴	N/A	N/A
Aerie Pharmaceuticals, Inc.	AERI	00771V108	No Relevant Purchases	N/A	Jun. 29, 2015
Associated Estates Realty Corporation (Transaction Action)	AEC	045604105	Limited Data ⁴	N/A	N/A
Rubicon Technology, Inc.	RBCN	78112T107	No Relevant Purchases	N/A	Jun. 29, 2015

NOT ELIGIBLE





New Investor Actions

Case Name ¹	Security Identifiers		Why You Are Not Eligible ³	We Recomm.	Filing Deadline
	Symbol	ID			
Trinity Industries, Inc.	TRN	896522109	No Relevant Purchases	N/A	Jun. 29, 2015
American Apparel, Inc. <i>(Transaction Action)</i>	APP	023850100	Limited Data ⁴	N/A	N/A
Zep Inc. <i>(Transaction Action)</i>	ZEP	98944B108	Limited Data ⁴	N/A	N/A
MobileIron, Inc.	MOBL	60739U204	No Relevant Purchases	N/A	Jun. 30, 2015
Endurance International Group Holdings, Inc.	EIGI	29272B105	No Relevant Purchases	N/A	Jul. 3, 2015
Freescale Semiconductor, Ltd.	FSL	G3727Q101	No Relevant Purchases	N/A	Jul. 3, 2015
Insulet Corporation	PODD	45784P101	No Relevant Purchases	N/A	Jul 6, 2015
Rayonier Advanced Materials Inc.	RYAM	75508B104	No Relevant Purchases	N/A	Jul. 6, 2015
MCG Capital Corporation <i>(Transaction Action)</i>	MCGC	58047P107	Limited Data ⁴	N/A	N/A
OmniVision Technologies, Inc. <i>(Transaction Action)</i>	OVTI	682128103	Limited Data ⁴	N/A	N/A
MasTec, Inc.	MTZ	576323109	No Relevant Purchases	N/A	Jul. 6, 2015
Square 1 Financial, Inc	SQBK	85223W101	No Relevant Purchases	N/A	Jul. 6, 2015
Ampio Pharmaceuticals, Inc.	AMPE	03209T109	No Relevant Purchases	N/A	Jul. 7, 2015
FXCM Inc.	FXCM	302693106	No Relevant Purchases	N/A	Jul. 7, 2015
Virtus AlphaSector Mutual Funds	VRTS	92828Q109	No Relevant Purchases	N/A	Jul. 7, 2015
Baltic Trading Limited <i>(Transaction Action)</i>	BALT	Y0553W103	Limited Data ⁴	N/A	Jul. 13, 2015
June 2015					
Toshiba Corporation (Deminor) <i>(Non-U.S. Action – Japan)</i>	6052	J89752117 JP3592200004	No Relevant Purchases	N/A	Pending
Toshiba Corporation (DRRT) <i>(Non-U.S. Action – Japan)</i>	6052	J89752117 JP3592200004	No Relevant Purchases	N/A	Pending
Navlink, Inc. <i>(Transaction Action)</i>	None	None	Limited Data ⁴	N/A	N/A
Etsy, Inc.	ETSY	29786A106	No Relevant Purchases	N/A	Jul. 13, 2015
Global Power Equipment Group Inc.	GLPW	37941P306	No Relevant Purchases	N/A	Jul. 13, 2015
Baltic Trading Limited	BALT	Y0553W103	No Relevant Purchases	N/A	Jul. 14, 2015
CHC Group Ltd.	HELI	G07021101 KYG070211019	No Relevant Purchases	N/A	Jul. 14, 2015
Cyan, Inc. <i>(Transaction Action)</i>	CYNI	23247W104	Limited Data ⁴	N/A	N/A
Micrel, Incorporated <i>(Transaction Action)</i>	MCRL	594793101	Limited Data ⁴	N/A	N/A
MoneyGram International, Inc.	MGI	60935Y208	No Relevant Purchases	N/A	Jul. 20, 2015
Vipshop Holdings Limited	VIPS	92763W103	No Relevant Purchases	N/A	Jul. 20, 2015
AOL Inc. <i>(Transaction Action)</i>	AOL	00184X105	Limited Data ⁴	N/A	N/A
Crestwood Midstream Partners LP <i>(Transaction Action)</i>	CMLP	226378107	Limited Data ⁴	N/A	N/A
Isoray, Inc.	ISR	46489V104	No Relevant Purchases	N/A	Jul. 21, 2015
New Residential Investment Corp. <i>(Transaction Action)</i>	NRZ	64828T201	Limited Data ⁴	N/A	N/A
Pall Corporation <i>(Transaction Action)</i>	PLL	696429307	Limited Data ⁴	N/A	N/A
Borderfree, Inc. <i>(Transaction Action)</i>	BRDR	09970L100	Limited Data ⁴	N/A	N/A
Ithaca Energy Inc. <i>(Non-U.S. Action – Canada)</i>	IAE	465676104	No Relevant Purchases	N/A	N/A
Ann Inc. <i>(Transaction Action)</i>	ANN	035623107	Limited Data ⁴	N/A	N/A
TrueCar, Inc.	TRUE	89785L107	No Relevant Purchases	N/A	Jul. 27, 2015
Yingli Green Energy Holding Company Limited	YGE	98584B103	No Relevant Purchases	N/A	Jul. 27, 2015
ClickSoftware Technologies Ltd. <i>(Transaction Action)</i>	CSKW	M25082104	Limited Data ⁴	N/A	N/A
Sears Holdings Corporation <i>(Transaction Action)</i>	SHLD	812350106	Limited Data ⁴	N/A	N/A
SFX Entertainment, Inc. <i>(Transaction Action)</i>	SFXE	784178303	Limited Data ⁴	N/A	N/A

NOT ELIGIBLE





Case Name ¹	Security Identifiers		Why You Are Not Eligible ³	We Recomm.	Filing Deadline
	Symbol	ID			
Broadcom Corporation <i>(Transaction Action)</i>	BRCM	111320107	Limited Data ⁴	N/A	N/A
Eagle Rock Energy Partners, LP <i>(Transaction Action)</i>	EROC	26985R104	Limited Data ⁴	N/A	N/A
GrafTech International Ltd. <i>(Transaction Action)</i>	GTI	384313102	Limited Data ⁴	N/A	N/A
Catamaran Corporation	CTRX	148887102	No Relevant Purchases	N/A	Aug. 3, 2015
Nationstar Mortgage Holdings Inc.	NSM	63861C109	No Relevant Purchases	N/A	Aug. 3, 2015
QEP Midstream Partners, LP	QEPM	74735R115	No Relevant Purchases	N/A	Aug. 3, 2015
LRR Energy, L.P. <i>(Transaction Action)</i>	LRE	50214A104	Limited Data ⁴	N/A	N/A
Puma Biotechnology, Inc.	PBYI	74587V107	No Relevant Purchases	N/A	Aug. 3, 2015
Aerohive Networks, Inc.	HIVE	007786106	No Relevant Purchases	N/A	Aug. 3, 2015
Meru Networks, Inc. <i>(Transaction Action)</i>	MERU	59047Q103	Limited Data ⁴	N/A	N/A
Omnicare, Inc. <i>(Transaction Action)</i>	OCR	681904108	Limited Data ⁴	N/A	N/A
Toshiba Corporation	TOSBF TOSYY	J89752117 891493306	No Relevant Purchases	N/A	Aug. 3, 2015
Altera Corporation <i>(Transaction Action)</i>	ALTR	021441100	Limited Data ⁴	N/A	N/A
China Finance Online Co. Limited	JRJC	169379104	No Relevant Purchases	N/A	Aug. 4, 2015
OM Group, Inc. <i>(Transaction Action)</i>	OMG	670872100	Limited Data ⁴	N/A	N/A
Rally Software Development Corp. <i>(Transaction Action)</i>	RALY	751198102	Limited Data ⁴	N/A	N/A
Xunlei Limited	XNET	98419E108	No Relevant Purchases	N/A	Aug. 7, 2015
SandRidge Energy, Inc. (SandRidge Trusts)	SDT SDR	80007T101 80007V106	No Relevant Purchases	N/A	Aug. 10, 2015
Geeknet, Inc. <i>(Transaction Action)</i>	GKNT	36846Q203	Limited Data ⁴	N/A	N/A

NOT ELIGIBLE

New Investor Actions

Notes		
1	Case Name	All actions listed in the "Case Name" column are U.S. securities class actions, unless otherwise noted.
2	Recommended	We recommend your fund play an active role, either as a lead plaintiff in the class action, opting out of the class, or otherwise. This recommendation is based on our view that the action is meritorious, your fund's losses are sufficiently large to justify playing an active role (for class and opt out actions), the action meets our other relevant criteria, and yours. For actions in this category, we include a detailed Investor Alert, explaining the basis of our recommendation.
	Take No Action	Although your fund is eligible to play an active role, we recommend that it does not. In the "Eligible - But Not Recommended" section, we explain why your fund should not play an active role (e.g., the case at present may not be sufficiently meritorious; your fund's losses are not likely large enough; or your stated criteria for activism are not met).
3	No Relevant Purchases	Your fund is ineligible to participate as it did not purchase the relevant securities during the stated class or time period (or its losses are below our \$20,000 threshold). If, because the relevant time period is later expanded, your fund becomes eligible to participate at the settlement stage, we will alert you to help ensure it shares in these proceeds.
	Gain	If your fund experienced a gain in a particular security during the relevant period, it is ineligible to participate.
4	Limited Data	We have insufficient data from your custodian. Without complete data covering the relevant period we cannot perform a proper damage analysis to determine your fund's eligibility to participate.
5	New Non-U.S. Action	We've identified this non-U.S. action as part of our global portfolio monitoring service. The action is currently being organized and we are in the process of obtaining the relevant documents and information in order to recommend a course of action to you. When we have sufficient information to make such a recommendation, we will advise you.



Eligible – But Not Recommended

June 2015

Case Name	Security Identifiers		Why You Are Eligible	We Recommend	Filing Deadline
	Symbol	ID			
Kraft Food Groups, Inc.	KRFT	50076Q106	Held Shares During Relevant Period	Take No Action	Jul. 14, 2015
<p>Company: Kraft Foods Group, Inc. ("Kraft") is a food company focused on consumer packaged food and beverages for North American Grocery stores. The company offers a wide range of branded beverages, cheese, grocery products and convenient meals.</p> <p>Allegations: On March 25, 2015, Kraft and H.J. Heinz Company ("Heinz") announced they had entered into a definitive merger agreement whereby Heinz will acquire Kraft in unit-for-unit exchange in a transaction valued at approximately \$53.1 billion (the "Proposed Transaction"). Under the terms of the deal, Kraft shareholders will receive one share of the combined company and a \$16.50 special cash dividend for each Kraft share they own. The complaint alleges the merger consideration is inadequate because, among other things, the intrinsic value of the company's common stock is substantially higher than the amount offered, particularly considering the company's anticipated future growth. In addition, the Proposed Transaction is unfair because defendants agreed to certain onerous and preclusive deal protection devices that operate to ensure that no competing offers will emerge. Moreover, in an attempt to secure shareholder approval, defendants filed a materially false and misleading Definitive Proxy Statement (the "Proxy") with the SEC which inhibits shareholders' ability to make an informed decision whether to approve the Proposed Transaction.</p> <p>Recommendation: We believe the claims asserted in this breach of fiduciary duty action are relatively weak. The merger consideration represents a 30% premium over the company's closing share price the last trading day before the deal was announced. After considering the numerous factors courts analyze, we think it will be difficult for plaintiffs to convince the court that the consideration offered is not fair. In addition, many of the challenged deal protection devices such as the "no solicitation" provision and the \$1.2 billion termination fee are commonplace in a deal this size. Moreover, we believe the interests of shareholders are adequately protected as actions are currently pending in federal court in Virginia and Illinois. We further note that the Proxy claims are likely moot as the parties to the action pending in Virginia have reached a tentative settlement which addresses many of the disclosure claims. In light of the foregoing, we recommend that City of Westminster take no action at this time.</p>					

E L I G I B L E

**Eligible
But Not
Recommended**





INSTITUTIONAL
PROTECTION
SERVICES

Periodic Report

From 01 Apr 2015 to 30 Jun 2015

City Of Westminster

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New Activity

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name	Claim Status	Notes
Tesco Plc (Deminor)	17 Apr 2013 21 Sep 2014			WMRF00070002	MAJEDIE	Not Eligible	
				WMRG00010000	TOTAL	Not Eligible	
Bain Capital Partners LLC (Private Equity)	01 Jan 2003 31 Dec 2007	USD \$ 590,500,000.00	29 Dec 2014	WMRF00050002	NEWTON	Not Eligible	
				WMRF99990002	NOMURA	Not Eligible	
AIG Inc. 2008 Securities Settlement	16 Mar 2006 05 Sep 2014	USD \$ 970,500,000.00	5 May 2015	WMRF00010002	HENDERSON	No Claim	
				WMRF00030002	INSIGHT	No Claim	
				WMRF00040002	ALLIANCE	Claim Filed	
				WMRF00050002	NEWTON	Claim Filed	
				WMRF99990002	NOMURA	Claim Filed	
				WMRG00010000	TOTAL	No Claim	
St. Jude Medical Inc	22 Apr 2009 31 Dec 2009	USD \$ 50,000,000.00	24 Jun 2015	WMRF00040002	ALLIANCE	Claim Filed	
Sprint Nextel Corporation	26 Oct 2006 27 May 2008	USD \$ 131,000,000.00	20 Jul 2015	WMRF00030002	INSIGHT	Claim Identified	
				WMRF00040002	ALLIANCE	Claim Identified	
				WMRF00050002	NEWTON	Claim Identified	
Pfizer Inc.	19 Jan 2006 24 Apr 2009	USD \$ 400,000,000.00	30 Jul 2015	WMRF00020002	ABERDEEN AM	No Claim	
				WMRF00040002	ALLIANCE	Claim Identified	
				WMRF00050002	NEWTON	Claim Identified	
				WMRF99990002	NOMURA	Claim Identified	

Potential New Settlement Claims with Incomplete Data

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name
There are no new potential claims with incomplete data					

Claims Pending

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name	Claim Status	Notes
Lloyds Bank TSB (Harcus Sinclair)	16 Sep 2008 16 Jan 2009			WMRF00030002	INSIGHT	Claim Registered	
				WMRF00040002	ALLIANCE	Claim Registered	
				WMRF00070002	MAJEDIE	Claim Registered	
OZ Minerals Ltd (ACA)	28 Feb 2008 01 Jul 2008			WMRF00040002	ALLIANCE	Claim Registered	
Downer EDI Limited	23 Feb 2006 07 Aug 2006		31 Dec 2007	WMRF00040002	ALLIANCE	Claim filed by predecessor	
Oz Minerals Ltd	28 Feb 2008 01 Dec 2008	AUD \$ 55,100,000.00	23 Aug 2009	WMRF00040002	ALLIANCE	Claim filed by predecessor	
Marvell Technology Group Ltd	27 Feb 2003 02 Oct 2006	USD \$ 72,000,000.00	18 Dec 2009	WMRF00050002	NEWTON	Claim filed by predecessor	
Fortis SA/NV (Deminor)	29 May 2007 03 Oct 2008		1 Aug 2010	WMRF00040002	ALLIANCE	Claim Filed	
ABC Learning	27 Feb 2006 30 Jul 2008			WMRF00050002	NEWTON	Claim filed by predecessor	
				WMRF99990002	NOMURA	Claim filed by predecessor	
BP Plc (State action) SRKW	30 Jun 2005 01 Jun 2010			WMRF00040002	ALLIANCE	Claim Filed	
				WMRF00050002	NEWTON	Claim Filed	
				WMRF00060002	SSGA	Claim Filed	
				WMRF00070002	MAJEDIE	Claim Filed	
Medtronic Inc	20 Nov 2006 17 Nov 2008	USD \$ 85,000,000.00	11 Dec 2012	WMRF00050002	NEWTON	Claim Filed	
Bank of America (Merrill Lynch) (SEC)	18 Sep 2008 21 Jan 2009	USD \$ 2,430,000,000.00	25 Apr 2013	WMRF00040002	ALLIANCE	Claim Filed	
Johnson & Johnson	14 Oct 2008 21 Jul 2010	USD \$ 22,900,000.00	24 Dec 2013	WMRF99990002	NOMURA	Claim Filed	
Lehman Bros -E&Y Settlement	12 Jun 2007 28 Oct 2008	USD \$ 99,000,000.00	17 Apr 2014	WMRF00040002	ALLIANCE	Claim Filed	
Pandora A/S	18 Apr 2011 02 Aug 2011		20 Apr 2014	WMRF00050002	NEWTON	Claim Filed	Estimated Loss: DKK 382,587

Weatherford International Ltd	25 Apr 2007 27 May 2011	USD \$ 52,500,000.00	6 Oct 2014	WMRF00050002	NEWTON	Claim Filed
AIG Inc. 2008 Securities Settlement	16 Mar 2006 05 Sep 2014	USD \$ 970,500,000.00	5 May 2015	WMRF00040002	ALLIANCE	Claim Filed
				WMRF00050002	NEWTON	Claim Filed
				WMRF99990002	NOMURA	Claim Filed
St. Jude Medical Inc	22 Apr 2009 31 Dec 2009	USD \$ 50,000,000.00	24 Jun 2015	WMRF00040002	ALLIANCE	Claim Filed

Claims Rejected

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name	Claim Status	Notes
Bain Capital Partners LLC (Private Equity)	01 Jan 2003 31 Dec 2007	USD \$ 590,500,000.00	29 Dec 2014	WMRF00050002	NEWTON	Not Eligible	
				WMRF99990002	NOMURA	Not Eligible	
Tesco Plc (Deminor)	17 Apr 2013 21 Sep 2014			WMRF00070002	MAJEDIE	Not Eligible	
				WMRG00010000	TOTAL	Not Eligible	

Claims Paid

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name	Amount Paid	Disbursement Date
There were no claims paid during this period							

Claims in Appeal/Validation

Case Name	Class Period	Settlement Fund	Filing Deadline	Account Number	Fund Name	Claim Status	Notes
There are no claims that are currently being appealed or validated							